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Preparation and characterization of a new derivative of rhodamine B with an alkoxysilane moiety

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Abstract

The synthesis of a novel rhodamine B derivative carrying an alkoxysilane moiety (RBS) is described. Rhodamine B (RB) was attached to the Si atom by an amide linkage to provide the functionalized silane via the condensation of 3-aminopropyltriethoxysilane (APTES) with RB by azeotropic distillation in water. The physical properties and structure of RBS were characterized using ¹H NMR, ¹³C NMR, HH COSY, FTIR, UV—vis measurements spectra and Kofler's apparatus. The absorbance of RBS was 1.6 times higher than that of RB. The equilibrium conformation of the RBS molecule was determined by means of a molecular simulation study; spectral properties are discussed based on the obtained computational results.

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1. Introduction

Rhodamines have been the subject of longstanding research. They are xanthene derivatives of alkylated *m*-aminophenols which fluoresce due to their stiff molecular structure which prevents the energy of the excited state from being lost by torsional vibration [1]. The first synthesis of rhodamine B was performed by Noelting and Dziewonski [2] in 1905 who found that the two principal forms of rhodamine B are the lactone (RBL) and salt form (RB).

In polar solvents, the colourless RBL form is transformed into the violet coloured zwitterion (RB⁺⁻). To the carboxyl group formed by the opening of the lactone ring of RBL, a proton can be added, forming RBH⁺ that has nearly the same absorption spectrum as RB⁺⁻. Anions such as chloride, bromide and perchlorate form ion pairs in solution with the rhodamine cation; hence, the ion pair molecules have the same absorption spectra as the free cation [3].

* Corresponding author. Fax: +421 2 5477 5923. E-mail address: upoltned@savba.sk (T. Nedelčev). Electron transfer from the xanthene part of the molecule to the phthalide results in a charge-transfer (CT) excited state, the excited RB⁺⁻ [4]. Radiation-less deactivation pathways for zwitterionic and/or cationic forms of rhodamines were studied by Drexhage [5] who postulated that torsional motion of the diethylamino groups in rhodamine B is involved in non-radiative processes. Rhodamine 101 contains alkyl-amino groups rigidly linked to the xanthene skeleton. The compound's very high luminescence quantum yield, and in particular, the independency of this quantum yield on temperature, seemed to be very strong arguments confirming that this model explains the nature of the non-radiative decay [3].

Guo et al. [6] studied the photocatalytic degradation of rhodamine B on a $SiW_{11}Ni$ support and found that the dye underwent deethylation, deamination, decarboxylation, and cleavage of the chromophore ring structure, resulting in the final products of CO_2 , NO_3^- , and Cl^- ions.

Many investigations have been carried out on the physical and chemical properties of rhodamines. Their optical properties depend on many factors, such as solvent (polarity and aprotic character), concentration and pH [7-9]. The ethyl ester of rhodamine B (*rhodamine* 6G) was used in one of the first dye lasers

Scheme 1. The condensation reaction between APTES and RB in chloroform.

and it is remains a commonly used laser dye [10]. Rhodamine B has been found to lase in alcohol, water, and PMMA [11–14].

As the concentrations of RB⁺⁻ and RBH⁺ increase, dimerization [15] occurs, causing an apparent deviation from Lambert—Beer's law. Dimerization of rhodamine B and rhodamine 6G leads not only to non-Lambert—Beer's law absorption, but also is detrimental to laser action [16]. Formation of the simplest dimeric aggregate involves dye—dye interactions negate monomer solvation [17]. A low dielectric constant non-polar solvent favours the formation of dimers [18,19].

In a polar solvent, such as ethanol, the carboxylic group of the rhodamines participates in a typical acid—base equilibrium [20]. The lactone form of rhodamine B which is colourless shows no emission because the dye's π -electron system is interrupted [7]. However, rhodamine 6G is unable to lactonize due to the ester form of the carboxylic group [21]. The main band of rhodamine B [22], corresponding to the monomer, occurs at 542.5 nm and its shoulder represents the dimer [23]. Recently, other important novel applications of rhodamines in the acid or ester form have been reported, such as their use as probes and indicators [24–26] and as dyes for medical and biological applications [27–29].

The reaction between the carboxylic group of rhodamines and amines have attracted little interest; Roy et al. [30] used Et₃N as catalyst for the condensation of the carboxylic group of rhodamine B with N-mono(tert-butyloxycarbonyl)ethylenediamine in acetonitrile. The reaction time was 10 h at a temperature of 20 °C and gave a yield of 81%. Ficht et al. [31] used N,N'-diisopropylcarbodimide as a water-binder and N-ethyldiisopropylamine as catalyst in the condensation reaction between the carboxylic group of rhodamine S and phenethylamine; the yield of the prepared amide was 63%. Shukla et al. [32] used N,N'-dicyclohexylcarbodimide as a waterbinding agent and DMAP as catalyst during a 5-h reaction at 20 °C, giving a yield of 65%. The preparation of a rhodamine B derivative carrying ethoxy-silano groups was described by Ohishi [33] who reacted sulforhodamine B with SOCl₂ in pyridine to produce the sulfonamide bond.

The study of the structure of rhodamines B and 6G, using molecular simulation methods, employing both semi-empirical and *ab initio* calculations, revealed that the xanthene and phthalide parts of the molecule formed perpendicular and *gauche* angles [15].

The aim of this paper is to present a simple method for the preparation of a new rhodamine B derivative that carries ethoxy-silano groups, without loss of optical character. A condensation reaction has been employed for the preparation of the

product RBS (Scheme 1); the structure of RBS was confirmed by FTIR, ¹H NMR, ¹³C NMR and HH COSY spectral measurements. Optical properties are discussed and a simple molecular simulation study was performed.

2. Experimental

2.1. Materials and measurements

Rhodamine B was obtained from Fluka, 3-aminopropyltrie-thoxysilane from Degussa and chloroform p.a. from AFT Bratislava. FTIR spectra were recorded on a Nicolet FTIR 400 spectrometer, ¹H NMR spectrum recorded on a Bruker 300 MHz and HH COSY spectrum was recorded on a Bruker 600 MHz NMR spectrometer. UV spectra were measured on a Shimadzu UV-1650 PC. Fluorescence emission was measured using a Perkin—Elmer MPF-4. Measurements of boiling points were performed on a Nagema PHMG 05 Kofler's hot stage apparatus.

2.2. Molecular modeling

The structure of the RBS was investigated by means of molecular modeling methods employing semi-empirical calculations [34,35]. The equilibrium conformer was obtained on the basis of an exploration of 2000 structures. The starting coordinates of the explored conformers were generated by a Monte Carlo algorithm within an energy window of 10 kcal/mol.

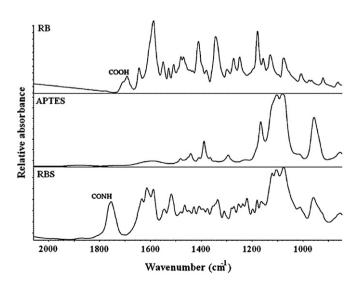


Fig. 1. FTIR spectra of RB, APTES and RBS.

The convergence criteria were set to 1×10^{-3} kcal/mol for energy or 1×10^{-4} Å for RMS, respectively.

2.3. Synthesis

2.3.1. 2-(3-(Diethylamino)-6-(diethylimino)-6H-xanthen-9-yl)-N-(3-(triethoxysilyl)propyl benzamide (RBS)

Rhodamine B (0.002 mol, 0.96 g) was dissolved in chloroform (30 ml). The solution was stirred and heated to the boiling point of chloroform. APTES (0.002 mol, 0.465 ml) was then added dropwise to the rhodamine solution under stirring. The reaction mixture was warmed and the water that was formed during the condensation reaction was distilled out via an azeotropic adapter. After 30 min, the chloroform was distilled from the reaction mixture and red crystals of RBS were formed (m.p. 65–70 °C) (100%).

 $^{1}HNMR$: 0.68 ($-CH_{2}-Si$) C, 1.16-1.23 ($O-CH_{2}-CH_{3}$) A, ($N-CH_{2}-CH_{3}$) F, 1.85 ($CH_{2}-CH_{2}-CH_{2}$) D, 2.9 ($CONH-CH_{2}-CH_{3}$) E, 3.4 ($N-CH_{2}-CH_{3}$) G, 3.8 ($O-CH_{2}-CH_{3}$) B, 6.38 (Ar) M, 6.47 (Ar) N, 6.62 (Ar) L, 7.2 (Ar) K, 7.58-7.64 (Ar) I, J, 8 (Ar) H in CDCl₃.

¹³C NMR: 7.6 (C-C), 12.54 (C-F), 18.3 (C-A), 21.2 (C-D), 42 (C-E), 44.7 (C-G), 58.4 (C-B), 97.2 (C-5, C-4), 107.4 (C-10, C-13), 109.1 (C-7, C-2), 125.1 (C-4'), 126 (C-6'), 129.3 to 129.6 (C-2', C-3', C-1, C-8), 133.6 (C-5', C-1'), 149 (C-9), 150.6 (C-6, C-3), 154.2 (C-11, C-12), 169.7 (C-H) in CDCl₃.

FTIR: C=O 1755 cm⁻¹, Si-O-C 1079 cm⁻¹, 1106 cm⁻¹ and 1121 cm⁻¹.

UV: 545 nm ($\varepsilon = 142\,000\,\mathrm{cm}^{-1}\,\mathrm{mol}^{-1}\,\mathrm{dm}^3$) in EtOH.

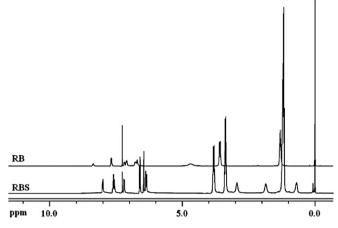


Fig. 2. ¹H NMR spectra of RB and RBS in CDCl₃.

3. Results and discussion

Within a condensation reaction, such as that between amines and carboxylic groups, water is produced. In the case of the condensation of APTES and RB, the by-product water had to be continuously removed from the reaction mixture in order to prevent hydrolysis of the ethoxyl groups in the aminopropyltriethoxysilane. Removal of water was performed by azeotropic distillation with chloroform. The formation of water droplets was observed in the azeotropic adapter during the first 15 min after APTES had been added: the duration

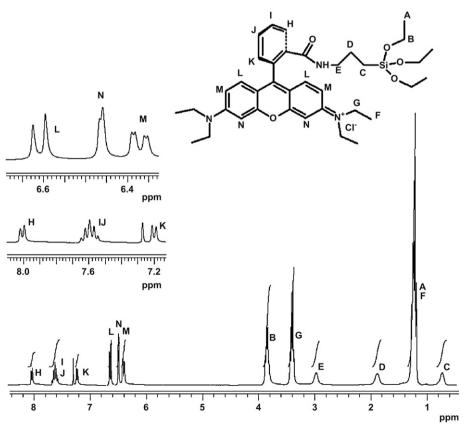


Fig. 3. ¹H NMR spectrum of RBS in CDCl₃.

Table 1
The comparison of theoretical and experimental integral values of signals in the ¹H NMR spectrum of RBS

Chemical shift δ (ppm)	Corresponding hydrogens	Integral values (experimental)	Integral values (theoretical)
0.675	C (-CH ₂ -Si)	2	2
1.16-1.23	A $(O-CH_2-CH_3)$		
	$F (N-CH_2-CH_3)$	22.9	21
1.85	D ($CH_2-CH_2-CH_2$)	2.07	2
2.9	E (CONH $-CH_2-$)	1.95	2
3.4	$G (N-CH_2-CH_3)$	7.96	8
3.8	B $(O-CH_2-CH_3)$	6.18	6
6.38	M (Ar)	2.09	2
6.47	N (Ar)	1.89	2
6.62	L (Ar)	1.81	2
7.2	K (Ar)	0.97	1
7.58-7.64	I, J (Ar)	2.06	2
8	H (Ar)	1.13	1

of reaction was set to 30 min to maximize product yield (RBS).

Fig. 1 shows the FTIR spectra of RB, APTES and RBS; vibration of the carbonyl group of RB is visible at 1695 cm⁻¹. After the condensation reaction of RB with APTES the RB's carbonyl vibration shifts to 1755 cm⁻¹ which indicates a change of the COOH group of RB to CONH in the product. The FTIR spectrum of RBS shows that unreacted RB was not visible. Peaks at 1079 cm⁻¹, 1106 cm⁻¹ and 1121 cm⁻¹ in the FTIR spectra of RBS are similar to those in the FTIR spectra of APTES; these peaks were assigned to Si–OEt vibrations.

Fig. 2 shows a comparison of the ${}^{1}H$ NMR spectra of RB and RBS. The CH₃–CH₂–N hydrogens of RB are visible as a quartet at 3.58 ppm and the CH₃–CH₂–N hydrogens of RBS as a quartet at 3.37 ppm. The chemical shift of the CH₃–CH₂–N hydrogen triplet visible at 1.3 ppm in the ${}^{1}H$

NMR spectrum of RB changed to 1.2 ppm in the 1 H NMR spectrum of RBS. The signals of the ethoxyl groups bound to silicon in RBS can also be observed in the 1 H NMR spectra (Fig. 3). A triplet belonging to CH_3 — CH_2 —O hydrogens can be seen at 1.2 ppm where it is superimposed by a triplet of CH_3 — CH_2 —N hydrogens; CH_3 — CH_2 —O hydrogens are visible at 3.81 ppm as a quartet. The region of the RB aromatic hydrogens, which ranged from 6 to 9 ppm, differs to that of RBS (Fig. 2). Integral values calculated from the 1 H NMR spectra support the RBS structure and are summarized in Table 1.

Fig. 4 shows the ¹³C NMR spectrum of RBS. The aliphatic carbons, labeled as A, B, C, D, E, F, G in the area from 0 to 60 ppm of the spectrum were assigned unambiguously. Identification of the signals in the aromatic area was complicated due to little difference in chemical shift between the carbons (2′, 3′, 8, 1). Thus, the signals of the aromatic carbons were characterized in agreement with Ramos et al.'s work [10] who assigned all of the carbons in novel ester derivatives of rhodamine B in ¹³C NMR spectra by HETCOR and COLOC measurements.

The aromatic peaks of RBS in the ¹H NMR spectrum were identified by HH COSY measurement (Fig. 5). The measured distance between the hydrogens is 2.489 Å (Scheme 2). Two triplets with chemical shift 7.6 ppm, assigned to H(I) and H(J) hydrogens, interact with H(H) and H(K) (Scheme 3). The triplet assigned to H(I) has a slightly lower chemical shift than that of H(J). At 6.4 ppm a doublet corresponding to the H(M) hydrogen appears. The peak at 6.7 ppm was assigned to the H(L) hydrogen, by its interaction corresponding to H(M) hydrogen, while the measured distance from the simulations is 2.449 Å. For the hydrogen in the H(N) position no interactions are inferred by the HH COSY spectrum.

Fig. 6 shows the UV-vis spectra of RB and RBS in ethanol. The absorption maxima of both molecules were

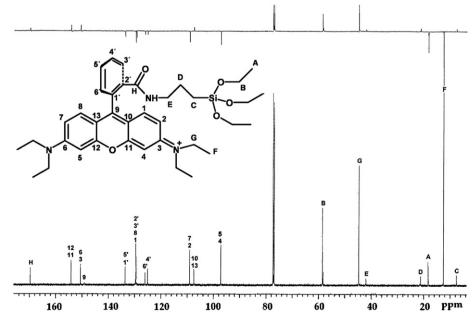


Fig. 4. ¹³C NMR spectrum of RBS in CDCl₃.

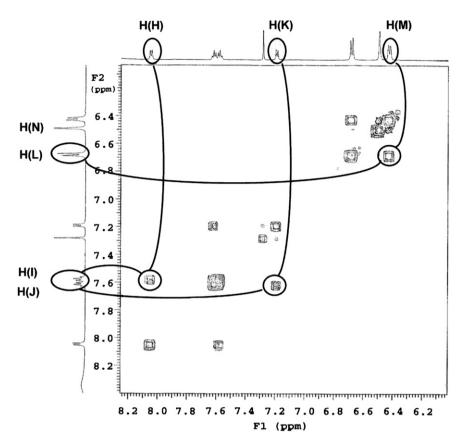


Fig. 5. HH COSY spectrum of RBS, area from 6.3 to 8.4 ppm measured in CDCl₃.

544.5 nm; the extinction coefficient of RB measured by Houghten et al. [22] was 88 000 cm⁻¹ mol⁻¹ dm³ which was confirmed by our measurements and the extinction coefficient of RBS was found to be 142 000 cm⁻¹ mol⁻¹ dm³. The absorbance of RBS was 1.6 times higher than that of RB which was supported by the molecular simulations. From geometric measurements, an angle of 77.85° between the phthalide and xanthene moieties infers a more planar structure (Scheme 2) than described by Ilich et al. [15], which may be due to the large silane group. The greater conjugation of the phthalide ring with the xanthene ring may have caused the increased extinction coefficient observed in the UV—vis spectra.

The absorption and the fluorescence emission spectra of RB (Fig. 7) and the RBS (Fig. 8) were measured at room temperature in ethanol. Stokes shifts ($\Delta\lambda = \lambda_{\rm em} - \lambda_{\rm ab}$), determined on the basis of the spectra, were ($\Delta\lambda = 32.5 \ \rm nm$) for RB and

Scheme 2. Diagram of RBS showing the equilibrium conformer geometry. The main torsional angles are indicated.

$$\begin{array}{c} H(I) \\ H(K) \\ H(K) \\ \end{array}$$

$$\begin{array}{c} H(I) \\ H(K) \\ \end{array}$$

$$\begin{array}{c} H(I) \\ H(I) \\ \end{array}$$

Scheme 3. Interactions of aromatic hydrogens of RBS measured by HH COSY.

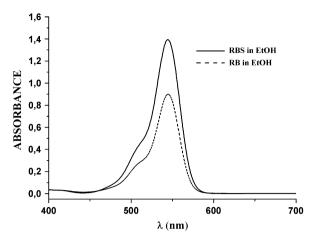


Fig. 6. UV absorption spectra of RBS (ε = 142 000 cm⁻¹ mol⁻¹ dm³) and RB (ε = 88 000 cm⁻¹ mol⁻¹ dm³) with absorption maximum (λ_{ab}) at 544.5 nm of concentration 10⁻⁵ mol dm⁻³ in EtOH.

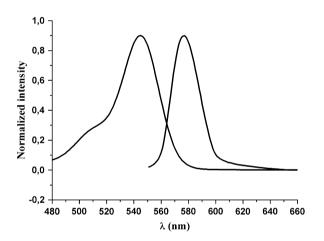


Fig. 7. UV absorption and fluorescence emission spectra of RB in EtOH $(10^{-5} \text{ mol dm}^{-3})$.

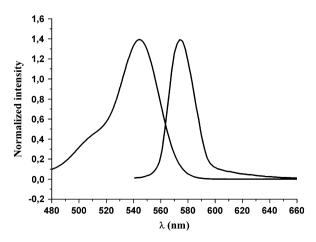


Fig. 8. UV absorption and fluorescence emission spectra of RBS in EtOH $(10^{-5} \text{ mol dm}^{-3})$.

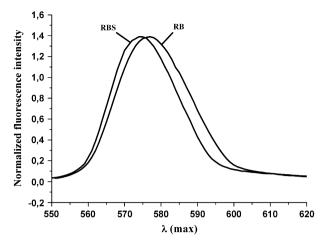


Fig. 9. Fluorescence emission spectra of RBS and RB in EtOH $(10^{-5}\ {\rm mol}\ {\rm dm}^{-3}).$

 $(\Delta\lambda=29.5~\text{nm})$ for RBS. The fluorescence emission spectra of RB and RBS are shown in Fig. 9 The binding of APTES to RB perturbs the resonance between the carbonyl group and phthalide moiety, which results in a blue-shift due to the energy increase between the S_0 and S_1 states [36]. The torsion angle of the carbonyl on the phthalide part of RBS, which is strongly out of plane, was 49.44° (Scheme 2) and lends support to this view. In the case of RB, the measurements indicated a planar structure for the carboxyl phthalide group. Fluorescence maxima of RB $(\lambda_{em}=577~\text{nm})$ and RBS $(\lambda_{em}=574~\text{nm})$ are given in Table 2.

Furthermore, as implied from our calculations, the molecule favours a *gauche* conformation of the xanthene and phthalide parts of the molecule. The xanthene part is mostly rigid, with freely rotating alkyl-amino groups. The energy barrier for rotation of the alkyl-amines was estimated to be 7–9 kcal/mol, while the rotation of the phthalide group is energetically 5 times greater, stabilizing the *gauche* conformation of the equilibrium conformer.

4. Conclusions

A new kind of rhodamine B with an alkoxysilane moiety was synthesized via the nucleophilic substitution reaction of 3-aminopropyltriethoxysilane (APTES) and rhodamine B (RB). The structure was characterized by means of the FTIR, ¹H NMR, HH COSY, ¹³C NMR and UV—vis measurements. The azotropic distillation of water formed in this reaction leads to a pure RBS without need of further purification. The absorbance of RBS was found to be 1.6 times higher than that of RB which was supported by molecular simulations.

Table 2 The extinction coefficient (ε), the absorption maxima (λ_{ab}), the emission maxima (λ_{em}) and Stokes shifts ($\Delta\lambda$) of the RB and the RBS in EtOH (10^{-5} mol dm⁻³)

	$\varepsilon (\mathrm{cm}^{-1} \mathrm{mol}^{-1} \mathrm{dm}^3)$	λ_{ab} (nm)	$\lambda_{\rm em} \ (nm)$	Δλ (nm)
RB	88 000	544.5	577	32.5
RBS	142 000	544.5	574	29.5

However, the observed decreased Stokes shift can be attributed to perturbed resonance between the carbonyl group and the phthalide moiety of the RBS. Further investigations of the covalent binding of RBS into a silica matrix and the physical properties of the dye in the host materials will be published in a forthcoming paper.

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